

File No. 6013-63us JA/mb

#11 / Reg for  
Refund  
04-23-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Patrick LANGLOIS et al.  
Serial No.: 09/504,896  
Filing date: February 16, 2000  
Title: DUAL-WAVELENGTH PASSIVE SELF-MODULATED MODE-  
LOCKED SEMICONDUCTOR LASER DIODE  
Examiner: Armando RODRIGUEZ Tel.: (703) 308-6218  
SPE: Frank G. FONT  
Group Art Unit: 2877  
Agent of Record: James Anglehart Tel.: Direct Dial: 514- 847-4244

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Assistant Commissioner for Patents  
The U.S. Patent and Trademark Office  
Washington, D.C. 20231,  
U.S.A.

**ATTENTION: Refund Section  
Office of Finance**

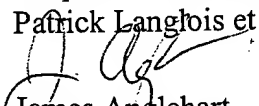
Sir:

Enclosed herewith is a copy of the USPTO monthly statement dated November 30, 2001 together with a copy of the Small Entity Declaration that we have filed with the USPTO on June 20, 2000.

We are therefore requesting a credit of \$55.00 for the one-month extension of time requested at the time of filing a response to the Office Action of July 3, 2001 as Applicant (Université Laval) qualifies for small entity.

We look forward to receiving of monthly statement reflecting the \$55.00 as a credit on our Account N° 19-5113.

Respectfully requested.  
Patrick Langlois et al.

  
James Anglehart  
Agent of Record,  
Registration No. 38,796

March 15, 2002

Enclosures: Copy of the Small Entity Declaration  
Copy of November 30, 2001 monthly statement  
Copy of the front page of our response to the Office Action of July 3, 2001  
Confirmation Postcard



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

ANNUAL STATEMENT  
DEPOSIT ACCOUNT

To replenish your Deposit Account, detach and  
return top portion with your check. Make check  
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Account No.	195113
Date	11-30-01
Page	1

SWABEY OGILVY RENAULT

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PLEASE SEND REMITTANCES TO:  
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DATE POSTED			CONTROL NO.	DESCRIPTION (Serial, Patent, TIVI, Order)	DOCKET NO.	FEE CODE	CHARGES/ CREDITS	CANADIAN
MO.	DAY	YR.						
11	1	01	6	4916870	9404-5US-1 KPM	285		
11	1	01	9	5737859	12418-4US RM	283	55.00	92.40
11	1	01	12	5302063	4889-21US GH	284	15.00	25.20
11	1	01	15	5303752	10519-10US RM	284	35.00	58.80
11	2	01	10	60330847	14118-2USPR MG	114	35.00	58.80
11	5	01	30	08961553	15440-2US JA	241	160.00	268.80
11	5	01	31	08961553	15440-2US JA	279	640.00	1075.20
11	5	01	139	489410	SS-655	566	370.00	621.60
11	5	01	151	489424	SS-655	566	15.00	25.20
11	6	01	51	E-REPLENISHMENT		566	15.00	25.20
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11	7	01	7	09326322	SS-655	567	90.00	151.20
11	7	01	30	09985778	14208-1US GH	242	20.00	33.60
11	7	01	109	490817	14376-1-1US-1 MJS	201	370.00	621.60
11	8	01	1	08997867	SS-655	567	30.00	50.40
11	8	01	1	09283988	12766-4US MJS	218	260.00	436.80
11	8	01	2	09504896	13212-1US-1 MJS	279	370.00	621.60
11	9	01	2	60331095	6013-63US JA	215	110.00	184.80
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11	9	01	61	09590422	10562-24US GH	242	20.00	33.60
11	9	01	142	09757797	14145-3US GH	242	20.00	33.60
11	13	01	52	09986712	14027-4US GH	242	20.00	33.60
11	13	01	54	09986712	15186-12US JA	103	20.00	33.60
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11	14	01	4	492540	SS-655	566	30.00	50.40
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11	14	01	115	492567	ss-655	567	20.00	33.60
11	15	01	27	492726	ss-655	567	30.00	50.40
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OPENING BALANCE				TOTAL CHARGES		TOTAL CREDITS		CLOSING BALANCE

AMOUNT SUFFICIENT TO  
FOR ALL SERVICES REQUESTED  
BE ALWAYS BE ON DEPOSIT.

\*\* O.D. INDICATES OVERDRAWN

Applicant or Patentee: Michel Piché, Patrick Langlois

Application or Patent No.: 09/504,896 Atty. Dkt. No.: 6013-63"US" JA/mb

Filed or Issued: February 16, 2000

Title: "DUAL-WAVELENGTH PASSIVE SELF-MODULATED MODE-LOCKED SEMICONDUCTOR LASER DIODE"

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) AND 1.27 (d)) - NONPROFIT ORGANIZATION**

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF NONPROFIT ORGANIZATION UNIVERSITÉ LAVAL

ADDRESS OF NONPROFIT ORGANIZATION CITÉ UNIVERSITAIRE, STE-FOY,  
QUÉBEC, CANADA G1K 7P4

**TYPE OF NONPROFIT ORGANIZATION**

- ☒ UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION  
☐ TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 U.S.C. 501(a) AND 501(c)(3))  
☐ WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 U.S.C. 501(a) AND 501 (c)(3)) IF LOCATED IN THE UNITED STATES OF AMERICA  
☐ WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA

(NAME OF STATE \_\_\_\_\_)

(CITATION OF STATUTE \_\_\_\_\_)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9 (e) for purposes of paying reduced fees to the United States Patent and Trademark Office regarding the invention described in

- ☐ the specification filed herewith with title as listed above.  
☒ the application identified above.  
☐ the patent identified above.

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization regarding the above identified invention. If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights in the invention must file separate verified statements averring to their status as small entities and that no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization having any rights in the invention is listed below.

- ☒ no such person, concern, or organization exists.  
☐ each such person, concern or organization is listed below.

NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

( ) INDIVIDUAL ( ) SMALL BUSINESS CONCERN ( ) NONPROFIT ORGANIZATION

NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

( ) INDIVIDUAL ( ) SMALL BUSINESS CONCERN ( ) NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING \_\_\_\_\_

LOUISE FILION

TITLE IN ORGANIZATION OF PERSON SIGNING VICE-RECTRICE À LA RECHERCHE

ADDRESS OF PERSON SIGNING \_\_\_\_\_

SIGNATURE \_\_\_\_\_

DATE \_\_\_\_\_

16 mars 2000